

UNDP-GEF PROJECT DOCUMENT**Government of Peru**

United Nations Development Programme (UNDP)

Global Environment Facility (GEF)

Asociación Especializada para el Desarrollo Sostenible (AEDES)

Integrated Ecosystem Management in the Cotahuasi Basin

The project's long-term objective is conservation of globally significant biological diversity and prevention and reversal of land degradation within the Cotahuasi basin. By the end of the project, it is expected that an integrated ecosystem management system will be in operation, created, managed and supported by local communities. This system will direct the conservation and sustainable use of biodiversity and other natural resources, reduce land degradation and desertification, and control mining, tourism and other economic activities in the project area.

SIGNATURE PAGE

Country: **Peru**

UNDAF Outcome(s)/Indicator(s):

Expected Outcome(s)/Indicator (s):

Improved capacity of local authorities, community-based groups, and private sector in environmental management and sustainable use of land and biodiversity in the Cotahuasi basin

Expected Output(s)/Indicator(s):

Conservation of globally significant biological diversity and prevention and reversal of land degradation within the Cotahuasi basin.

Implementing partner:

Asociación Especializada para el Desarrollo Sostenible (AEDES)

Budget: US\$ 4,855,000

General Management Support Fee:

Total budget: US\$ 4,855,000

Allocated resources:

- Regional government: US\$ 1,500,000
- Local governments: US\$ 1,320,000
- GEF US\$ 870,000
- Others: US\$ 270,000

Programme Period: 2001-2005
Programme Component: Energy and Environment for Sustainable Development
Project Title: Integrated Ecosystem Management in the Cotahuasi Basin
Project ID:
Project Duration: 2004-2007
Management Arrangement: NGO execution

Agreed by (APCI):

[Signature] 11 OCT 2004

OSCAR SCHIAPPA-PIETRA
Director Ejecutivo
Agencia Peruana de Cooperación Internacional

Agreed by (AEDES):

[Signature] 28.09.04

DIRECTOR EJECUTIVO

Agreed by (UNDP):

[Signature] OCT 2004

Martín Santiago-Herrero
REPRESENTANTE RESIDENTE

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Acronyms

AEDES	Specialized Sustainable Development Association
AMPE	Association of Peruvian Municipal Authorities
ANP	Protected Natural Area
APCO	Association of Organic Crop Growers
CDD	District Development Council
CI	Conservation International
CITES	Convention International on Threatened and Endangered Species
CONAM	National Environment Council
FEMULU	La Unión Women's Federation
FIDA	International Fund for the Development of Agriculture
GEF	Global Environment Facility
IPGRI	International Plant Genetic Resources Institute
INRENA	National Institute of Natural Resources
IRECA	Regional Institute of Environmental Sciences - Arequipa
IUCN	International Union for the Conservation of Nature
MCPLU	La Unión Provincial Cooperation Committee
MITINCI	Ministry of Industry, Tourism, Integration and International Commerce
PAMA	Environmental Adaptation and Management Program
PELT	Special Project for Lake Titicaca
PRONOMACHCS	National Program for River Basin Management and Soil Conservation
SEP	Southern Zone of Extreme Poverty in Perú
SINANPE	National System of State-Protected Natural Areas
UNDP	United Nations Development Programme

Section I

Part I. Situation Analysis

1. Human beings have inhabited the Cotahuasi Basin for more than 10,000 years, and for most of this period have managed the area's resources sustainably, with economic activities that fall within the carrying capacity of local ecosystems. Approximately 17,300 people live within the basin, almost all of them indigenous members of the Wari, Chankas, Incas, and other local peoples (97% of the population speaks Quecha). The isolation of the basin has long protected it from most of the destructive development practices that have occurred in other parts of the Andes. As a result, however, the province is one of the least economically developed and poor in all of Perú. For the past several decades, there has been a high level of emigration out of the valley as people seek better income opportunities elsewhere. This emigration has prompted the basin's remaining residents to adopt increasingly exploitative natural resource management practices to make up for lost incomes and manpower. Thus, even while the area's population has decreased, both deforestation and overgrazing in the Cotahuasi basin have increased, causing erosion, habitat destruction, and a reduction of the species of flora and fauna native to the area. Currently, there is no integrated strategy and little coordinated management of natural resources in the Cotahuasi basin. There is little documented knowledge of local species, and even less awareness among local populations of the existence or importance of biodiversity in the area.

2. The negative impacts of human activity in the area continue to grow. With the prospect of significant tourism growth in the coming years, threats to biodiversity and soil and water resources will likely worsen. In addition, state agencies still do not generally take into account sustainable management concerns, and even significant development projects are not subject to any form of environmental impact assessments. An integrated, basin-wide ecosystem management strategy, implemented through already existing institutions such as the Provincial Cooperation Council and the District Development Committees, and reinforced by the formal establishment of a Landscape Reserve in the basin, will greatly enhance the conservation of natural resources with significant local and global benefits.

3. Among the greatest threats to the natural resources of the Cotahuasi basin are (i) Loss of native biodiversity due to loss of vegetative cover, overgrazing (concentrated cattle raising), and extraction of bushes and trees for firewood; (ii) Reductions in agro-biodiversity and disappearance of heirloom varieties due to increasing use of new and often exotic seed varieties; (iii) Accelerating erosion and desertification on agricultural and pasture lands as area inhabitants abandon traditional Andean cultural practices of land management in favor of more market friendly technologies and practices, including elimination of terraces; (iv) burning of stubble, abandonment of crop rotation, and changing irrigation techniques, and impacts of these on ecosystem integrity both upstream and downstream in the basin; (v) Contamination of water sources from an increase in mining activities; (vi) Contamination of water sources from increased use of agrochemicals and the dumping of solid and liquid wastes into water sources near population centers and cultivated lands; (vii) Loss of vegetative cover associated with poor use and distribution of water resources, giving rise to the desertification of some natural environments and changes in the ecological processes of others; and (viii) Increased dessication of the valley zones due to alterations in the hydrological regime of the basin.

This project will support achievement of the Millennium Development Goal on Environmental Sustainability. Particularly target on integrating sustainable development into national policy and programmes and reverting environmental degradation. Also, the project is consistent with UNDP's strategic goal on energy and environment for sustainable development (service lines on sustainable land management to combat desertification and land degradation and on conservation and sustainable use of biodiversity). Moreover, it might have a significant impact on providing sustainable alternative livelihoods and improve incomes of poor Andean populations living in the Cotahuasi basin. It is consistent with supporting sustainable human development in the area.

Part II. Strategy

The proposed project will implement a system of integrated ecosystem management in the spectacular and remote Cotahuasi river basin in the southwestern Andes of Peru. The project will encompass the entire river basin and provide a comprehensive system for natural resources management, sustainable development, and the conservation of globally significant biodiversity and agro-biodiversity. Local stakeholders will strengthen existing communal agricultural and resource management systems and benefit from technical training and outreach and environmental education and awareness activities. The entire basin will be categorized into distinct ecological zones with differing management guidelines, including the first conservation zones in the region for unique and endangered ecosystems and species.

Project objectives and corresponding activities, have been designed to promote (i) inter-sectoral and participatory planning approaches to integrated ecosystem management; (ii) identifying barriers and constraints currently limiting the adoption of comprehensive ecosystem management interventions; (iii) addressing and prioritizing needed policy, regulatory, incentive structures, and institutional reforms; (iv) strengthening the technical, managerial, information and decision-making capacities of organizations responsible for planning and implementation of integrated ecosystem management programmes; and (v) targeted investments in support of integrated ecosystem approaches.

The project area includes several ecosystem types (mountain pastures, relict dryland forests, peat bog ecosystems) subject to desertification and land degradation. The project will help to conserve these areas and also address priorities identified in Peru's draft National Action Plan (NAP) under the UN Convention to Combat Desertification, including: development of information networks of scientific and academic, public and private institutions related with the problem of desertification; participation in the national system of monitoring of desertification; strengthening food security and emergency plans to mitigate the effects of drought; creation and strengthening of associations to fight against desertification; and increasing the active participation of communities affected by desertification.

The Project will complement ongoing activities oriented toward fulfilling Article XI of the Preliminary Rubric of Environment Legislation¹, in particular, it is directed at Chapter X, which refers to the management of Protected Natural Areas in accordance with the principles of the Global Conservation Strategy and the specific norms of the Law on Protected Natural Areas².

¹ Legislative Decree n° 613, 20 January 1990.

² Law 26843, 4 July 1997.

³ Law 26821. Organic Law for the Utilization of Natural Resources, 26 June 1997.

⁴ Law 26839. Law on the Conservation and Sustainable Utilization of Biological Diversity, 16 July 1997.

Guidance is likewise provided by the Organic Law for the use of natural resources³ and by the Law on Conservation and Sustainable Utilization of Biological Diversity⁴. The project will contribute to achieving the biodiversity conservation objectives of the National Biodiversity Strategy and Action Plan (NBSAP), including improved knowledge & management systems, better information and institutional mechanisms, and equitable sharing of benefits of biodiversity. Also, the project fits into the Peruvian government's current emphasis on promoting integrated ecosystem management programs. Among these programs are the Biodiversity Conservation in the Titicaca Lake project, executed by the Titicaca Lake Autonomous Authority and supported by UNDP/GEF, the Biosphere Reserve of the Northwest (mangroves, dry forests), supported by the Dutch cooperation and implemented by Pro Naturaleza and INRENA, as well as a program for conserving dry (algarrobo) forests along the northern coast of Peru.

Part III. Management Arrangements

25. AEDES will be directly responsible to UNDP and GEF for financial and non-financial execution and overall management of the Project. As implementing partner, AEDES will be responsible for the achievement of objectives and impacts proposed in the Project Document.
26. AEDES has an office in Cotahuasi and another one in Arequipa. AEDES' staff member in the Cotahuasi based office will assume implementation daily tasks.
27. A Consultative Committee will provide advice and oversight on project activities implementation. The Integrated Ecosystem Management in the Cotahuasi Basin project is an initiative promoted by AEDES that has a long term provincial perspective oriented to the strengthening of population's and local governments' participation and consensus building. In this regard, AEDES has considered convenient to conform a Consultative Committee to follow up activities implementation and provide an orientation that reflects local population's and local governments' concerns.
28. The project's Consultative Committee will have a balanced representation of participants from local governments, organizations in La Unión involved in the implementation of project activities, and the donor agency.
29. The Consultative Committee will assume the following responsibilities:
 - Support AEDES in the consolidation of strategic partnerships and project advocacy to the highest level.
 - Provide recommendations that can improve project strategic approach regarding project execution and activities implementation.
 - Provide recommendations on the project's informational approach to disseminate lessons learnt and share experiences and knowledge among local authorities and civil society as well as on sustainability of project outcomes.
 - Provide support reviewing annual work plans and financial reports, making recommendations to improve them.

24. The following organizations will conform the Consultative Committee to provide technical and strategic recommendations that may contribute to the project success and achievement of corresponding outcomes:

- President or designated representative, Mayors association of La Unión province
- Representative, Organic Producers association of La Unión province.
- President or designated representative, Women federation of La Union province
- President or designated representative, Irrigation water users association of La Union province.
- President or designated representative, Peasant communities' federation of La Unión province.
- UNDP representative
- AEDES' Executive Director

The project coordinator will perform as Technical Secretariat of the Consultative Committee.

25. AEDES would have a critical role and overall responsibility in the selection process to fill the positions of personnel that would be permanently contracted ² as well as in proper technical and financial monitoring of activities conducted by this personnel and external consultants.

26. AEDES will also be responsible for the preparation and submission of the following reports: (a) Progress Reports as per requirement of UNDP/GEF will be prepared and will be submitted to the Regional Project Coordinator (UNDP/GEF, UNDP/RBLAC), UNDP-Peru, and to the corresponding Government Agency (e.g. the Agencia Peruana de Cooperación Internacional-APCI).

27. Most activities will be implemented by AEDES according to objectives established in the project brief approved by GEF.

28. UNDP will accompany and oversight overall project execution and activities implementation in order to contribute to maximize the scope, impact and quality of its outputs. In addition, as a GEF implementing agency, it will be responsible for administering the resources in accordance with the immediate objectives of the Project Document, and observing its own guiding principles of transparency, competitiveness, efficiency and economy.

29. In order to accord proper acknowledgement to GEF for providing funding, all project documents should include a paragraph to explicitly require that a GEF logo appear on all relevant GEF project publications, including among others, project hardware and vehicles purchased with GEF funds. Any citation on publications regarding projects funded by GEF should also accord proper acknowledgment to GEF. The UNDP logo should be more prominent -- and separated a bit from the GEF logo if possible as, with non-UN logos, there can be security issues for staff."

² See Appendix B on preliminary Terms of Reference for project personnel

30. The project will be subject to an annual audit according to the UNDP's procedures. Project budget will have to consider to allocate the resources needed to pay for the services provided by the auditing company.

Part IV. Monitoring and Evaluation

Project monitoring and evaluation will be conducted in accordance with established UNDP and GEF procedures and will be provided by the project team and the UNDP Country Office (UNDP-CO) with support from UNDP/GEF³.

1. MONITORING AND REPORTING

1.1. Project Inception Phase

A Project Inception Workshop will be conducted with the full project team, relevant government counterparts, co-financing partners, the UNDP-CO and representation from the UNDP-GEF Regional Coordinating Unit, as well as UNDP-GEF (HQs) as appropriate.

A fundamental objective of this Inception Workshop will be to assist the project team to understand and take ownership of the project's goals and objectives, as well as finalize preparation of the project's first annual workplan on the basis of the project's logframe matrix. This will include reviewing the logframe (indicators, means of verification, assumptions), imparting additional detail as needed, and on the basis of this exercise finalize the Annual Work Plan (AWP) with precise and measurable performance indicators, and in a manner consistent with the expected outcomes for the project. The IW will also provide an opportunity for all parties to understand their roles, functions, and responsibilities within the project's decision-making structures, including reporting and communication lines, and conflict resolution mechanisms.

1.2. Monitoring responsibilities and events

Day to day monitoring of implementation progress will be the responsibility of the Project Coordinator based on the project's Annual Workplan and its indicators. The Project Team will inform the UNDP-CO of any delays or difficulties faced during implementation so that the appropriate support or corrective measures can be adopted in a timely and remedial fashion. The Project Coordinator and the Project GEF Technical Advisor will fine-tune the progress and performance/impact indicators of the project in consultation with the full project team at the Inception Workshop with support from UNDP-CO and assisted by the UNDP-GEF Regional Coordinating Unit. Specific targets for the first year implementation progress indicators together with their means of verification will be developed at this Workshop. The local partners (formerly implementing agencies) will also take part in the Inception Workshop in which a common vision of overall project goals will be established. Targets and indicators for subsequent years would be

³ The Logical Framework Matrix in Appendix A (Annex 4, page 108) provides *performance* and *impact* indicators for project implementation along with their corresponding *means of verification*. These will form the basis on which the project's Monitoring and Evaluation system will be built.

defined annually as part of the internal evaluation and planning processes undertaken by the project team.

Periodic monitoring of implementation progress will be undertaken by the UNDP-CO through quarterly meetings with the project proponent, or more frequently as deemed necessary. This will allow parties to take stock and to troubleshoot any problems pertaining to the project in a timely fashion to ensure smooth implementation of project activities. UNDP Country Offices and UNDP-GEF RCUs as appropriate, will conduct yearly visits to projects that have field sites, or more often based on an agreed upon schedule to be detailed in the project's Inception Report / Annual Workplan to assess first hand project progress. A Field Visit Report will be prepared by the CO and circulated no less than one month after the visit to the project team and UNDP-GEF.

1.3. Project Monitoring Reporting

The Project Coordinator in conjunction with the UNDP-GEF extended team will be responsible for the preparation and submission of the following reports that form part of the monitoring process. Items (a) through (f) are mandatory and strictly related to monitoring, while (g) through (h) have a broader function and the frequency and nature is project specific to be defined throughout implementation.

(a) *Inception Report (IR)*

A Project Inception Report will be prepared immediately following the Inception Workshop. It will include a detailed First Year/ Annual Work Plan divided in quarterly time-frames detailing the activities and progress indicators that will guide implementation during the first year of the project. This Work Plan would include the dates of specific field visits, support missions from the UNDP-CO or the Regional Coordinating Unit (RCU) or consultants, as well as time-frames for meetings of the project's decision making structures. The Report will also include the detailed project budget for the first full year of implementation, prepared on the basis of the Annual Work Plan, and including any monitoring and evaluation requirements to effectively measure project performance during the targeted 12 months time-frame.

(b) *Annual Project Report (APR)*

The APR is a UNDP requirement and part of UNDP's Country Office central oversight, monitoring and project management. It is a self-assessment report by project management to the CO and provides input to the country office reporting process and the ROAR, as well as forming a key input to the Tripartite Project Review. An APR will be prepared on an annual basis prior to the Tripartite Project Review, to reflect progress achieved in meeting the project's Annual Work Plan and assess performance of the project in contributing to intended outcomes through outputs and partnership work.

(c) *Project Implementation Review (PIR)*

The PIR is an annual monitoring process mandated by the GEF. It has become an essential management and monitoring tool for project managers and offers the main vehicle for extracting lessons from ongoing projects.

(d) *Quarterly Progress Reports*

Short reports outlining main updates in project progress will be provided quarterly to the local UNDP Country Office and the UNDP-GEF regional office by the project team.

(e) *Periodic Thematic Reports*

As and when called for by UNDP, UNDP-GEF or the Implementing Partner, the project team will prepare Specific Thematic Reports, focusing on specific issues or areas of activity. The request for a Thematic Report will be provided to the project team in written form by UNDP and will clearly state the issue or activities that need to be reported on. These reports can be used as a form of lessons learnt exercise, specific oversight in key areas, or as troubleshooting exercises to evaluate and overcome obstacles and difficulties encountered.

(f) *Project Terminal Report*

During the last three months of the project the project team will prepare the Project Terminal Report. This comprehensive report will summarize all activities, achievements and outputs of the Project, lessons learnt, objectives met, or not achieved, structures and systems implemented, etc. and will be the definitive statement of the Project's activities during its lifetime. It will also lay out recommendations for any further steps that may need to be taken to ensure sustainability and replicability of the Project's activities.

(g) *Technical Reports and Project Publication* (project specific- optional)

2. INDEPENDENT EVALUATION

The project will be subjected to at least two independent external evaluations as follows:

- (i) ***Mid-term Evaluation:*** it will be undertaken at the end of the second year of implementation and will determine progress being made towards the achievement of outcomes and will identify course correction if needed. It will focus on the effectiveness, efficiency and timeliness of project implementation; will highlight issues requiring decisions and actions; and will present initial lessons learned about project design, implementation and management. Findings of this review will be incorporated as recommendations for enhanced implementation during the final half of the project's term. The organization and timing of the mid-term evaluation will be decided after consultation between the parties to the project document. The Terms of Reference for this Mid-term evaluation will be prepared by the UNDP CO based on guidance from the UNDP/GEF Regional Coordinating Unit.

- (ii) ***Final Evaluation:*** An independent Final Evaluation will take place three months prior to the terminal tripartite review meeting, and will focus on the same issues as the mid-term evaluation. The final evaluation will also look at impact and sustainability of results, including the contribution to capacity development and the achievement of global environmental goals. The Final Evaluation should also provide recommendations for follow-up activities. The Terms of Reference for this evaluation will be prepared by the UNDP CO based on guidance from the UNDP/GEF Regional Coordinating Unit.

3. LEARNING AND KNOWLEDGE SHARING

Results from the project will be disseminated within and beyond the project intervention zone through a number of existing information sharing networks and forums. In addition:

- ◆ The project will participate, as relevant and appropriate, in UNDP/GEF sponsored networks, organized for Senior Personnel working on projects that share common characteristics. UNDP/GEF shall establish a number of networks, such as Integrated Ecosystem Management, eco-tourism, co-management, etc, that will largely function on the basis of an electronic platform.
- ◆ The project will identify and participate, as relevant and appropriate, in scientific, policy-based and/or any other networks, which may be of benefit to project implementation though lessons learned.

The project will identify, analyze, and share lessons learned that might be beneficial in the design and implementation of similar future projects. Identify and analyzing lessons learned is an on- going process, and the need to communicate such lessons as one of the project's central contributions is a requirement to be delivered not less frequently than once every 12 months. UNDP/GEF shall provide a format and assist the project team in categorizing, documenting and reporting on lessons learned. To this end a percentage of project resources will need to be allocated for these activities.

TABLE 1: INDICATIVE MONITORING AND EVALUATION WORK PLAN AND CORRESPONDING BUDGET

Type of M&E activity	Responsible Parties	Budget US\$ Excluding project team Staff time	Time frame
Inception Workshop	<ul style="list-style-type: none"> ▪ Project Coordinator ▪ UNDP CO ▪ UNDP GEF 	1,000	Within first two months of project start up
Inception Report	<ul style="list-style-type: none"> ▪ Project Team ▪ UNDP CO 	None	Immediately following IW
Measurement of Means of Verification for Project Purpose Indicators	<ul style="list-style-type: none"> ▪ Project Coordinator will oversee the hiring of specific studies and institutions, and delegate responsibilities to relevant team members 	To be finalized in Inception Phase and Workshop.	Start, mid and end of project
Measurement of Means of Verification for Project Progress and Performance (measured on an annual basis)	<ul style="list-style-type: none"> ▪ Oversight by Project GEF Technical Advisor and Project Coordinator ▪ Measurements by regional field officers and local IAs 	To be determined as part of the Annual Workplan's preparation ¹	Annually prior to APR/PIR and to the definition of annual work plans
APR and PIR	<ul style="list-style-type: none"> ▪ Project Team ▪ UNDP-CO ▪ UNDP-GEF 	None	Annually
TPR and TPR report	<ul style="list-style-type: none"> ▪ Government Counterparts ▪ UNDP CO ▪ Project team ▪ UNDP-GEF Regional Coordinating Unit 	None	Every year, upon receipt of APR
Consultative Committee Meetings	<ul style="list-style-type: none"> ▪ Project Coordinator ▪ UNDP CO 	None	Following Project IW and subsequently at least once a year
Periodic status reports	<ul style="list-style-type: none"> ▪ Project team 	None	To be determined by Project team and UNDP CO
Technical reports	<ul style="list-style-type: none"> ▪ Project team ▪ Hired consultants as needed 	15,000	To be determined by Project Team and UNDP-CO
Mid-term External	<ul style="list-style-type: none"> ▪ Project team 	20,000	At the mid-point

Evaluation	<ul style="list-style-type: none"> ▪ UNDP- CO ▪ UNDP-GEF Regional Coordinating Unit ▪ External Consultants (i.e. evaluation team) 		of project implementation.
Final External Evaluation	<ul style="list-style-type: none"> ▪ Project team, ▪ UNDP-CO ▪ UNDP-GEF Regional Coordinating Unit ▪ External Consultants (i.e. evaluation team) 	30,000	At the end of project implementation
Terminal Report	<ul style="list-style-type: none"> ▪ Project team ▪ UNDP-Peru ▪ External Consultant 	None	At least one month before the end of the project
Lessons learned	<ul style="list-style-type: none"> ▪ Project team ▪ UNDP-GEF Regional Coordinating Unit (suggested formats for documenting best practices, etc) 	9,000 (average 3,000 per year)	Yearly
Audit	<ul style="list-style-type: none"> ▪ UNDP-Peru ▪ Project team 	3,000 (average \$1000 per year)	Yearly
Visits to field sites	<ul style="list-style-type: none"> ▪ UNDP Country Office ▪ UNDP-GEF Regional Coordinating Unit (as appropriate) 	Costs not paid out of project budget	Yearly
TOTAL INDICATIVE COST <i>Excluding project team staff time and UNDP staff and travel expenses</i>		US\$78,000	

Part V. Legal Context

El presente documento de proyecto será el instrumento previsto en las disposiciones complementarias que se adjuntan. Para los fines de las disposiciones complementarias del documento de proyecto, por Organismo de Ejecución del país huésped se entenderá el Organismo de Cooperación del Gobierno que se describe en las disposiciones complementarias (Anexo I).

Los siguientes tipos de revisiones al presente documento de proyecto podrán realizarse con la firma del Representante Residente del PNUD únicamente, siempre que cuente con la seguridad de que los demás signatarios del documento de proyecto no tienen objeciones a los cambios propuestos:

- (a) Revisiones de cualquiera de los anexos del documento de proyecto o adiciones a ellos (con excepción del Texto Jurídico Modelo para los Países que no han firmado el Acuerdo Básico de Asistencia), texto que no puede alterarse y cuya aceptación es una condición previa para recibir asistencia del PNUD.
- (b) Revisiones que no impliquen cambios significativos en los objetivos inmediatos, los resultados o las actividades del proyecto, pero que se deben a una redistribución de los insumos ya acordados o aumentos de los gastos, debido a la inflación.

En razón de que el proyecto será ejecutado por el Gobierno, cualquier reclamo derivado del cumplimiento de obligaciones contractuales o de circunstancias imprevistas, será de responsabilidad del Organismo Nacional de Ejecución. En este sentido se deja constancia de las siguientes estipulaciones:

- a) Todo pago estipulado en contratos u otras obligaciones provenientes de este proyecto será procesado por el PNUD con los fondos transferidos por el Organismo Nacional de Ejecución.
- b) Ningún contratista, sea éste persona natural o jurídica, podrá ser considerado miembro o representante del PNUD.
- c) Ninguna de las condiciones estipuladas en este convenio podrán ser interpretadas como una renuncia por parte del PNUD a la Convención sobre los Privilegios e Inmunidades de las Naciones Unidas que amparan todo su accionar en el país.
- d) Las garantías que deberán satisfacer los contratistas del proyecto, en virtud de estipulaciones contractuales o legales, serán presentadas al Organismo Nacional de Ejecución. Será decisión y responsabilidad del Organismo Nacional de Ejecución la realización de las mismas. En caso sea necesario.

Cualquier controversia derivada de la ejecución del proyecto, o de contratos u obligaciones suscritos durante su vigencia, deberá ser ventilada entre el Organismo Nacional de Ejecución y el contratista, sin intervención del PNUD.

Section II—The total workplan and budget

Award: tbd

Award Title: PIMS 1658 PDF B?? BD: Ecosystem Management in the Cotahuasi Basin

Project Objective/Atlas Output: By the end of the project, an integrated ecosystem management system will be in operation, created, managed and supported by local communities. This system will direct the conservation and sustainable use of biodiversity and other natural resources, reduce land degradation and desertification, and control mining, tourism and other economic activities in the project area.

Project ID: tbd

Project Outcome/Atlas Activity	Responsible Party	Source of Funds	PLANNED BUDGET AND WORKPLAN				Total US\$
			Budget Description (general)	Year 1 US\$	Year 2 US\$	Year 3 US\$	
Outcome 1: Globally significant biodiversity protected in the new priority conservation areas and sustainably managed in the rest of the Cotahuasi river basin	AEDES	GEF	71300 Local Consultants	98,200	57,150	14,150	169,500
			71400 Contractual Services-Individ.				
			71600 Travel				
			72200 Equipment and Furniture				
			73100 Rental & Maintenance-Prem.				
			74200 Audio Visual & Print P.Costs				
74500 Miscellaneous Expenses							

Project Outcome/Atlas Activity	Responsible Party	Source of Funds	PLANNED BUDGET AND WORKPLAN				
			Budget Description (general)	Year 1 US\$	Year 2 US\$	Year 3 US\$	Total US\$
		Other	71300 Local Consultants	105,000	144,000	116,000	365,000
			71400 Contractual Services-Individ.				
			71600 Travel				
			72200 Equipment and Furniture				
			72500 Supplies				
			73100 Rental & Maintenance-Prem.				
			74200 Audio Visual & Print P.Costs				
			74500 Miscellaneous Expenses				
Outcome 2: Natural resources sustainably and productively managed under an integrated ecosystem management system	AEDES	GEF	71300 Local Consultants	87,250	72,500	63,250	223,000
			71400 Contractual Services-Individ.				
			72200 Equipment and Furniture				
			72500 Supplies				
			73100 Rental & Maintenance-Prem.				
			74500 Miscellaneous Expenses				
		Other	71300 Local Consultants	197,000	393,000	443,000	1,033,000
			71400 Contractual Services-Individ.				
			71600 Travel				
			72200 Equipment and Furniture				
			72500 Supplies				
			73100 Rental & Maintenance-Prem.				
			74200 Audio Visual & Print P.Costs				
			74500 Miscellaneous Expenses				

PLANNED BUDGET AND WORKPLAN							
Project Outcome/Atlas Activity	Responsible Party	Source of Funds	Budget Description (general)	Year 1 US\$	Year 2 US\$	Year 3 US\$	Total US\$
Outcome 3: Local populations benefit from increased incomes derived from alternative livelihoods based on sustainable natural resource use	AEDES	GEF	71300 Local Consultants	104,600	77,000	65,400	247,000
			71400 Contractual Services				
			72200 Equipment and Furniture				
			72500 Supplies				
			73100 Rental & Maintenance-Prem.				
			74200 Audio Visual & Print P.Costs				
			74500 Miscellaneous Expenses				
		Other	71300 Local Consultants	190,000	341,000	405,000	936,000
			71400 Contractual Services-Individ.				
			71600 Travel				
			72200 Equipment and Furniture				
			72500 Supplies				
			73100 Rental & Maintenance-Prem.				
			74200 Audio Visual & Print P.Costs				
			74500 Miscellaneous Expenses				
Outcome 4: Local people and authorities aware of the links between resource use and degradation, and are trained in, and supportive of, integrated management of the basin's ecosystems	AEDES	GEF	71200 International Consultants	76,400	50,700	36,300	163,400
			71300 Local Consultants				
			71400 Contractual Services-Individ.				
			71600 Travel				
			72200 Equipment and Furniture				
			72500 Supplies				
			73100 Rental & Maintenance-Prem.				
			74200 Audio Visual & Print P.Costs				
			74500 Miscellaneous Expenses				

PLANNED BUDGET AND WORKPLAN							
Project Outcome/Atlas Activity	Responsible Party	Source of Funds	Budget Description (general)	Year 1 US\$	Year 2 US\$	Year 3 US\$	Total US\$
		Other	71400 Contractual Services-Individ. 71600 Travel 72200 Equipment and Furniture 72500 Supplies 73100 Rental & Maintenance-Prem. 74200 Audio Visual & Print P.Costs 74500 Miscellaneous Expenses	138,000	185,000	188,000	511,000
Outcome 5: Physical infrastructure is established to support integrated ecosystem management of the Cotahuasi basin	AEDES	GEF	71600 Travel 74500 Miscellaneous Expenses	220,000	405,000	460,000	1,085,000
Outcome 6: Lessons Learnt are captured, Adaptive Management guides implementation and best practice	AEDES	GEF	71200 International Consultants 71400 Contractual Services-Individ. 74200 Audio Visual & Print P.Costs 74500 Miscellaneous Expenses	10,100	27,600	29,400	67,100
		Other	71400 Contractual Services-Individ. 74500 Miscellaneous Expenses	10,000	10,000	10,000	30,000
Subtotal by source			GEF	376,550	284,950	208,500	870,000
			OTHER	860,000	1,478,000	1,622,000	3,960,000
Grand total				1,236,550	1,762,950	1,830,500	4,830,000



Annual Work Plan

Peru - Lima

Award Id: 00036609

Award Title: PIMS 1658 BD: "COTAHUASI BASIN"

Year: 2004

Report Date: 15/10/2004

Project ID	Expected Outputs	Key Activities	Timeframe		Responsible Party	Planned Budget				
			Start	End		Fund	Donor	Budget Descr	Amount US\$	
00039892	MSP:INTEGRATED ECOSYSTEM MANA	Aware between use & d	14/9/04		PER-NGO'S	62000	GEFTrustee	71200	International Consultants	5,000.00
					PER-NGO'S	62000	GEFTrustee	71300	Local Consultants	7,800.00
					PER-NGO'S	62000	GEFTrustee	71400	Contractual Services - Indi	13,000.00
					PER-NGO'S	62000	GEFTrustee	71600	Travel	7,000.00
					PER-NGO'S	62000	GEFTrustee	72200	Equipment and Furniture	29,500.00
					PER-NGO'S	62000	GEFTrustee	72500	Supplies	7,000.00
					PER-NGO'S	62000	GEFTrustee	73100	Rental & Maintenance-Premis	4,800.00
					PER-NGO'S	62000	GEFTrustee	74500	Miscellaneous Expenses	2,300.00
		Biodiversity protec.sust.m	14/9/04		PER-NGO'S	62000	GEFTrustee	71300	Local Consultants	51,000.00
					PER-NGO'S	62000	GEFTrustee	71400	Contractual Services - Indi	5,000.00
					PER-NGO'S	62000	GEFTrustee	71600	Travel	3,500.00
					PER-NGO'S	62000	GEFTrustee	72200	Equipment and Furniture	25,500.00
					PER-NGO'S	62000	GEFTrustee	72300	Materials & Goods	2,150.00
					PER-NGO'S	62000	GEFTrustee	72400	Communic & Audio Visual E	3,250.00
					PER-NGO'S	62000	GEFTrustee	72500	Supplies	7,800.00
		Lessons learn and capt	14/9/04		PER-NGO'S	62000	GEFTrustee	71400	Contractual Services - Indi	1,800.00
					PER-NGO'S	62000	GEFTrustee	74500	Miscellaneous Expenses	8,500.00
		Local Population benefit	14/9/04		PER-NGO'S	62000	GEFTrustee	71300	Local Consultants	30,000.00
					PER-NGO'S	62000	GEFTrustee	71400	Contractual Services - Indi	22,500.00
					PER-NGO'S	62000	GEFTrustee	72200	Equipment and Furniture	39,500.00
					PER-NGO'S	62000	GEFTrustee	72500	Supplies	6,000.00
					PER-NGO'S	62000	GEFTrustee	73100	Rental & Maintenance-Premis	4,800.00
					PER-NGO'S	62000	GEFTrustee	74500	Miscellaneous Expenses	2,000.00
		Nat.resources sust./pro	14/9/04		PER-NGO'S	62000	GEFTrustee	71300	Local Consultants	35,500.00
					PER-NGO'S	62000	GEFTrustee	71400	Contractual Services - Indi	12,750.00
					PER-NGO'S	62000	GEFTrustee	72200	Equipment and Furniture	26,500.00
					PER-NGO'S	62000	GEFTrustee	72500	Supplies	8,000.00
					PER-NGO'S	62000	GEFTrustee	73100	Rental & Maintenance-Premis	4,500.00
TOTAL										376,550.00



Annual Work Plan

Peru - Lima

Award Id: 00036609

Award Title: PIMS 1658 BD: "COTAHUASI BASIN"

GRAND TOTAL

Report Date: 15/10/2004

376,550.00



Annual Work Plan

Peru - Lima

Award Id: 00036609

Report Date: 15/10/2004

Award Title: PIMS 1658 BD: "COTAHUASI BASIN"

Year: 2005

Project ID	Expected Outputs	Key Activities	Timeframe		Responsible Party	Planned Budget				
			Start	End		Fund	Donor	Budget Descr	Amount US\$	
00039892	MSP-INTEGRATED ECOSYSTEM MANA	Aware between use & d	14/9/04		PER-NGO'S	62000	GEFTrustee	71300	Local Consultants	6,000.00
						62000	GEFTrustee	71400	Contractual Services - Indi	13,500.00
						62000	GEFTrustee	71600	Travel	8,000.00
						62000	GEFTrustee	72200	Equipment and Furniture	5,500.00
						62000	GEFTrustee	72500	Supplies	6,000.00
						62000	GEFTrustee	73100	Rental & Maintenance-Premis	4,300.00
						62000	GEFTrustee	74200	Audio Visual&Print Prod Co	5,000.00
						62000	GEFTrustee	74500	Miscellaneous Expenses	2,400.00
						62000	GEFTrustee	71300	Local Consultants	7,500.00
						62000	GEFTrustee	71400	Contractual Services - Indi	5,000.00
						62000	GEFTrustee	71600	Travel	3,500.00
						62000	GEFTrustee	73100	Rental & Maintenance-Premis	2,150.00
						62000	GEFTrustee	74200	Audio Visual&Print Prod Co	35,000.00
						62000	GEFTrustee	74500	Miscellaneous Expenses	4,000.00
						62000	GEFTrustee	71200	International Consultants	12,500.00
								Biodiversity protec.sust.m	14/9/04	
62000	GEFTrustee	74500	Miscellaneous Expenses	13,500.00						
62000	GEFTrustee	71300	Local Consultants	30,500.00						
62000	GEFTrustee	71400	Contractual Services - Indi	23,000.00						
62000	GEFTrustee	72200	Equipment and Furniture	2,000.00						
62000	GEFTrustee	72500	Supplies	7,000.00						
62000	GEFTrustee	73100	Rental & Maintenance-Premis	4,600.00						
62000	GEFTrustee	74200	Audio Visual&Print Prod Co	8,000.00						
62000	GEFTrustee	74500	Miscellaneous Expenses	1,900.00						
62000	GEFTrustee	71300	Local Consultants	35,500.00						
62000	GEFTrustee	71400	Contractual Services - Indi	12,750.00						
62000	GEFTrustee	72200	Equipment and Furniture	3,000.00						
62000	GEFTrustee	72500	Supplies	9,000.00						
62000	GEFTrustee	73100	Rental & Maintenance-Premis	4,500.00						
62000	GEFTrustee	74500	Miscellaneous Expenses	7,750.00						
		Lessons learn and capt	14/9/04		PER-NGO'S					
						62000	GEFTrustee	74500	Miscellaneous Expenses	13,500.00
						62000	GEFTrustee	71300	Local Consultants	30,500.00
						62000	GEFTrustee	71400	Contractual Services - Indi	23,000.00
		Local Population benefit	14/9/04		PER-NGO'S	62000	GEFTrustee	72200	Equipment and Furniture	2,000.00
						62000	GEFTrustee	72500	Supplies	7,000.00
						62000	GEFTrustee	73100	Rental & Maintenance-Premis	4,600.00
						62000	GEFTrustee	74200	Audio Visual&Print Prod Co	8,000.00
		Nat.resources sust./pro	14/9/04		PER-NGO'S	62000	GEFTrustee	74500	Miscellaneous Expenses	1,900.00
						62000	GEFTrustee	71300	Local Consultants	35,500.00
						62000	GEFTrustee	71400	Contractual Services - Indi	12,750.00
						62000	GEFTrustee	72200	Equipment and Furniture	3,000.00
					PER-NGO'S	62000	GEFTrustee	72500	Supplies	9,000.00
						62000	GEFTrustee	73100	Rental & Maintenance-Premis	4,500.00
						62000	GEFTrustee	74500	Miscellaneous Expenses	7,750.00
						62000	GEFTrustee	71300	Local Consultants	35,500.00



Annual Work Plan

Peru - Lima

Report Date: 15/10/2004

Award Id: 00036609

Award Title: PIMS 1658 BD: "COTAHUASI BASIN"

Year: 2005

Project ID	Expected Outputs	Key Activities	Timeframe		Responsible Party	Planned Budget		
			Start	End		Fund	Donor	Budget Descr
TOTAL								
GRAND TOTAL								
								284,950.00
								284,950.00



Annual Work Plan

Peru - Lima

Award Id: 00036609

Award Title: PIMS 1658 BD: "COTAHUASI BASIN"

Year: 2006

Report Date: 15/10/2004

Project ID	Expected Outputs	Key Activities	Timeframe		Responsible Party	Planned Budget					
			Start	End		Fund	Donor	Budget Descr	Amount US\$		
00039892	MSP-INTEGRATED ECOSYSTEM MANA	Aware between use & d	14/9/04		PER-NGO'S	62000	GEFTTrustee	71300	Local Consultants	6,000.00	
						62000	GEFTTrustee	71400	Contractual Services - Indi	13,500.00	
						62000	GEFTTrustee	71600	Travel	5,000.00	
		Biodiversity protec.sust.m	14/9/04		PER-NGO'S	62000	GEFTTrustee	72200	Equipment and Furniture	1,000.00	
						62000	GEFTTrustee	72500	Supplies	6,000.00	
						62000	GEFTTrustee	73100	Rental & Maintenance-Premis	4,800.00	
						62000	GEFTTrustee	71400	Contractual Services - Indi	5,000.00	
		Lessons learn and capt	14/9/04		PER-NGO'S	62000	GEFTTrustee	71600	Travel	3,500.00	
						62000	GEFTTrustee	73100	Rental & Maintenance-Premis	2,100.00	
						62000	GEFTTrustee	74500	Miscellaneous Expenses	3,500.00	
						62000	GEFTTrustee	71200	International Consultants	15,000.00	
		Local Population benefit	14/9/04		PER-NGO'S	62000	GEFTTrustee	71400	Contractual Services - Indi	1,600.00	
						62000	GEFTTrustee	74200	Audio Visual&Print Prod Co	4,000.00	
						62000	GEFTTrustee	74500	Miscellaneous Expenses	8,800.00	
						62000	GEFTTrustee	71300	Local Consultants	30,500.00	
		Nat.resources sust./pro	14/9/04		PER-NGO'S	62000	GEFTTrustee	71400	Contractual Services - Indi	23,000.00	
62000	GEFTTrustee					72200	Equipment and Furniture	1,000.00			
62000	GEFTTrustee					72500	Supplies	6,000.00			
62000	GEFTTrustee					73100	Rental & Maintenance-Premis	4,600.00			
62000	GEFTTrustee					74500	Miscellaneous Expenses	300.00			
TOTAL											208,500.00
GRAND TOTAL											208,500.00